IN THE MISSOURI GAMING COMMISSION

In Re:)	
)	DC-19-078
NRT Technology, Corp.)	

PRELIMINARY ORDER FOR DISCIPLINARY ACTION

Comes now the Missouri Gaming Commission acting in its official capacity pursuant to 11 CSR 45-13.050, and states as follows:

- 1. The Missouri Gaming Commission (the "Commission" or "MGC") is a state commission created under Chapter 313, RSMo, 2016, with jurisdiction over gaming activities, including riverboat gambling activities, in the State of Missouri.
- 2. NRT Technology, Corp. ("NRT") is a supplier of enterprise payment systems, self-serve kiosks, and gaming platforms, holding a license under 11 CSR 45-4, et seq.
- 3. The Commission issued a Supplier license to NRT to provide gambling equipment or supplies, or other items directed by the Commission to Class B licensees or to the Commission.
- 4. As the holder of a Supplier license, the Company is subject to the provisions of §§ 313.800 to 313.850, RSMo, and the regulations promulgated thereunder by the Commission.

STATEMENT OF FACTS²

- 5. NRT has been licensed continuously as a Supplier since 2009.
- 6. NRT's Supplier license was set to expire April 30, 2019.
- 7. NRT filed its Supplier license renewal application with the Commission on February 15, 2019, 74 days prior to the expiration date, less than 120 days prior to its current license expiration date.
- 8. NRT was late in filing its two prior renewal applications with the MGC.
- 9. NRT's late filing of this application necessitated an extension by the Chairman on April 2, 2019. The full commission voted to ratify that action, pursuant to Resolution 19-021, resulting in NRT's license expiration being changed to June 29, 2019.
- 10. On May 29, 2019, NRT's Supplier license was renewed by Resolution No. 19-033.

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¹ All statutory references are to RSMo 2016, unless otherwise specified.

² 20190501002

LAW

- 11. Under § 313.805(6), RSMo, the Commission may assess any appropriate administrative penalty against a licensee, including, but not limited to, suspension, revocation or penalties of an amount determined by the Commission.
- 12. Section 313.812.14, RSMo, states, in pertinent part, as follows:

A holder of any license shall be subject to imposition of penalties, suspension or revocation of such license, or if the person is an applicant for licensure, the denial of the application, for any act or failure to act by himself or his agents or employees, that is injurious to the public health, safety, morals, good order and general welfare of the people of the state of Missouri, or that would discredit or tend to discredit the Missouri gaming industry or the state of Missouri unless the licensee proves by clear and convincing evidence that it is not guilty of such action. The commission shall take appropriate action against any licensee who violates the law or the rules and regulations of the commission. Without limiting other provisions of this subsection, the following acts or omissions may be grounds for such discipline.

- (1) Failing to comply with or make provision for compliance with sections 313.800 to 313.850, the rules and regulations of the commission or any federal, state or local law or regulations;
- (2) Failing to comply with any rule, order or ruling of the commission or its agents pertaining to gaming[.]
- 13. Title 11 CSR 45-4.250(1) requires supplier licensees to register for renewal of their license with the Commission "at least one hundred twenty (120) days before license expiration."

VIOLATIONS

- 14. The actions or omissions of employees or agents of the Company as described above constitute a failure to timely register for renewal of its license, which is a violation of 11 CSR 45-4.250(1).
- 15. The Company is therefore subject to discipline for such violations under §§ 313.805(6) and 313.812.14 (1) and (2), RSMo.

PENALTY PROPOSED

16. Under § 313.805(6), RSMo, the Commission has the power to assess any appropriate administrative penalty against the Company, as the holder of a Supplier license.

17. THEREFORE, it is proposed that the Commiss of \$5,000 for the violations set forth herein.	sion fine NRT Technology, Corp., the amount
	Mike Leara Chairman Missouri Gaming Commission

CERTIFICATE OF SERVICE

The undersigned hereby certifies that he caused a true and correct copy of the foregoing to be mailed, postage prepaid, this 22^{nd} day of August, 2019, to:

John Dominelli NRT Technology, Corp. 10 Compass Court Toronto, Ontario, Canada M1S-5R3

> Mike Leara Chairman Missouri Gaming Commission